

TECHNOLOGY ERRORS AND OMISSIONS

Software, SaaS, IT services, cyber controls, contracts, and client dependencies.



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1. Applicant and Contact Information

Applicant / entity name	DBA
Physical address	Website
City / State / ZIP	Years in business
Primary contact	Title
Phone	Email
FEIN	Requested effective date

Describe the applicant's professional services and the clients served:

2. Coverage Requested and Current Insurance

Limit requested	Deductible / retention
Retroactive / prior-acts date	Current carrier
Current annual premium	Policy expiration

Is the current policy written on a claims-made basis?	Yes	No
Has there been or will there be any gap in professional liability coverage?	Yes	No
Is an extended reporting period, full prior acts, or predecessor coverage requested?	Yes	No

3. Technology Services and Revenue Breakdown

Enter the percentage of annual gross revenue for each category. Total should equal 100%.

Software development / licensing		%
SaaS / hosted platforms		%
IT consulting / systems integration		%
Managed services / help desk		%
Cloud hosting / data center services		%
Cybersecurity services		%
Hardware sales / installation		%
Other technology services		%
Total annual gross revenue	Largest client %	

4. Operations and Exposure Questions

Do products or services support healthcare, financial, payment, public safety, or other mission-critical functions?	Yes	No
Does the applicant host, process, transmit, or store confidential, regulated, or payment-card data?	Yes	No
Does any client represent more than 20% of annual revenue?	Yes	No
Does the applicant make uptime, performance, security, savings, or compatibility guarantees?	Yes	No
Does the applicant use open-source code, third-party libraries, generative AI, or licensed components?	Yes	No
Does the applicant outsource development, hosting, support, security, or data processing?	Yes	No
Are products or services provided to consumers or clients outside the United States?	Yes	No
Has the applicant experienced an outage, security incident, failed implementation, or material service-credit event?	Yes	No
Explain any Yes answers above and identify the related service, client, or project:		

5. Industry-Specific Risk Management Controls

Are written contracts used with customers, vendors, and subcontractors?	Yes	No
Do customer contracts define deliverables, acceptance testing, support, service levels, and change control?	Yes	No
Do contracts include limitations of liability, warranty disclaimers, and exclusions of consequential damages?	Yes	No
Are source code changes peer reviewed, tested, documented, and approved before production deployment?	Yes	No
Are secure-development, vulnerability-management, and patch-management standards documented?	Yes	No
Is multifactor authentication required for administrative, cloud, email, and remote access?	Yes	No
Are critical data and systems backed up, encrypted, and tested for restoration?	Yes	No
Are incident response, disaster recovery, and business continuity plans tested at least annually?	Yes	No
Are penetration tests or independent security assessments performed regularly?	Yes	No
Are privacy, data-retention, and breach-notification obligations tracked by jurisdiction and contract?	Yes	No
Are open-source licenses, intellectual-property ownership, and third-party code approvals reviewed?	Yes	No
Are subcontractors required to carry technology E&O and cyber liability coverage?	Yes	No
Explain any No answers, exceptions, or planned corrective actions:		

6. Largest Clients and Engagements

Client / project	Services	% revenue	End date
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7. Prior Coverage, Claims and Circumstances

Has any insurer declined, cancelled, non-renewed, or restricted professional liability coverage?	Yes	No
Has any claim, suit, demand, arbitration, disciplinary matter, or regulatory proceeding been made?	Yes	No
Is any applicant, owner, officer, director, or professional aware of an act that may result in a claim?	Yes	No
Has any client requested a refund, fee waiver, correction, re-performance, or payment due to alleged error?	Yes	No
Has any contract dispute, missed deadline, data loss, confidentiality issue, or intellectual-property allegation occurred?	Yes	No
Has any professional license, accreditation, certification, or privilege been investigated or restricted?	Yes	No

For every Yes answer, provide dates, claimant, allegation, status, amount paid/reserved, and corrective action:

8. Required Supporting Documents

Current declarations / policy	Five-year loss runs
Standard client contract	Professional roster / resumes
Licenses / certifications	Supplemental claim details

9. Applicant Certification and Signature

The applicant represents that the information provided is true, complete, and accurate to the best of the applicant's knowledge. This questionnaire does not bind coverage; all terms are subject to carrier underwriting and policy language.

Applicant name	Title
Signature	Date
Agent name	Agency
Agent phone / email	